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DK-US020721

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Yuichi TERADA

Serial No.: New - (Nationa Phase of

PCT/JP03/14274)

International Filing Date: November 10, 2003

For: HEAT EXCHANGER AND AIR CONDITIONER INDOOR UNIT

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner of Patents Washington, DC 20231

Sir:

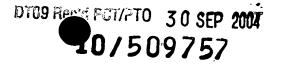
In accordance with MPEP 609 and 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicants bring the attached references to the Examiner's attention and request that they be considered and made of record in this application. Also attached is a completed PTO-1449 form. This Information Disclosure Statement is being filed within three (3) months of the filing date of this application. Thus, *no fee is believed to be due for this Information Disclosure*Statement. However, the Commissioner is authorized to charge any fees associated with this communication or credit any overpayment to Deposit Account No. 50-1836. A duplicate copy of this Statement is enclosed for that purpose.

Japanese Laid Open Patent Publication No. 4-97221 (Japanese Utility Model Application No. 005382/1991) appears to disclose a blower with a plurality of heat exchangers. The International search report indicates that the full text and all of the drawings are relevant to this application and PCT claims 1-8.

Japanese Unexamined Patent Publication No. 2000-74409 discloses an indoor unit of an air conditioner that includes a heat exchanger. In this configuration, the height at which the front drain pan and the rear drain pan are different. The front drain pan is disposed lower and the rear drain pan is disposed higher, or the front drain pan is disposed higher and the rear drain pan is disposed lower. Only English abstract attached.

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Page 2 of 2

Japanese Unexamined Patent Publication No. 2001-4162 appears to disclose a system having a heat exchanger that is formed by combining a plurality of heat transfer tubes.

Japanese Unexamined Patent Publication No. 2002-206770 discloses an air conditioner having a plurality of heat exchangers. The International search report indicates that the full text and all of the drawings are relevant to this application and PCT claims 1-8. An English abstract is also provided to better understand this publication

Prompt examination on the merits is respectfully requested.

Respectfully submitted,

David L. Tarnoff Reg. No. 32,383

SHINJYU GLOBAL IP COUNSELORS, LLP

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Dated:

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DT09 Rec'd PCT/PTO 3.0 SEP 2004

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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| Complete if Known | | | | |
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| Application Number | New-PCT/JP03/14274 Nat'l Phase | | | |
| Filing Date | Herewin / 500757 | | | |
| First Named Inventor | Yuichi TERADA 09757 | | | |
| Group Art Unit | | | | |
| Examiner Name | | | | |
| Attorney Docket Number | DK-US020721 | | | |

| U.S. PATENT DOCUMENTS | | | | | | |
|-----------------------|--|-----------------|------------------|--|---------------------------------------|--|
| Examiner Cite No.1 | Cite | Document Number | Publication Date | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant | |
| | Number - Kind Code ² (if known) | MM-DD-YYYY | cited bocument | Passages or Relevant Figures Appear | | |
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| | FOREIGN PATENT DOCUMENTS | | | | | | |
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| Examiner Cite Initials* No.1 | Cito | Foreign Patent Document | | Name of Patentee or | Pages, Columns, Lines, Where Relevant | | |
| | Country Code ³ - Number ⁴ - Kind Code ⁵ (if known) | Publication Date MM-DD-YYYY | Applicant of Cited Document | Passages or Relevant Figures Appear | T⁵ | | |
| | | JP-4-97221-U | 08-24-1992 | Asami et al. | Full text; all drawings | No | |
| | | JP-2000-74409-A | 03-14-2000 | Yamada et al. | | No | |
| | | JP-2001-4162-A | 01-12-2001 | Oka et al. | | No | |
| | | JP-2002-206770-A | 07-26-2002 | Koreeda et al. | Full text; all drawings | No | |
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| Examiner Signature | Date Considered | |
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.